BETTER ENFORCEMENT – THE NEXT STEP FOR REGULATORY POLICY

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Regulatory compliance & enforcement

• Compliance crucial for regulatory efficiency
• A phase of the Regulatory Governance Cycle that have been addressed less systematically
• Emerging issue –reforms in the area of inspections, innovations, governance arrangements for regulators
• Insufficient contact between those responsible for regulatory policy and regulators/inspectors who have front-line experience with implementation
Policy issues for government action

Monitor and evaluate

Develop policy roadmap

Enforce regulation

Design new regulation

Regulation
Governments should promote the use of risk-based approaches in the design and enforcement of regulatory compliance strategies to increase the likelihood of achieving compliance goals and to minimise the imposition of costs on citizens and businesses through compliance and enforcement procedures.

Regulators should assess how regulations will be given effect and should design responsive implementation and enforcement strategies.”

Whole section dedicated to the organisation of regulators

Main issues

• Inspections and enforcement – reforms and innovative approaches
• Governance of regulators
• Ex ante assessment of enforcement prospects
• Feedback from regulators to the policy-making process
• International co-operation of regulators
Better inspections

• How inspections are organised? Is there any convergence?
  – Institutional structure, governance, regulatory framework, financing, HR

• Improving effectiveness and efficiency
  – Better targeting, “doing more with less”
  – Less burden stemming from inspections
  – Better focus

• Innovations – self-certification, training, corporate licenses

• Performance assessment

• Monitoring compliance

• Information & advice
How do we proceed

• Survey on regulatory enforcement & inspections
• Potential case studies on good practices and innovative approaches
• Guidance on reforming inspection
• Network of Regulators
• How to become a World Class Regulator?
Goals of this workshop

- To exchange experience among practitioners
- To discuss two expert papers that will be presented to the Regulatory Policy Committee
- To come up with recommendations for improving inspection systems in OECD countries
THANK YOU!
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